



Stocks and Shares ISA Transfer Authority Form

Please complete this form if you want to transfer savings from another ISA provider to your Stocks and Shares ISA. You should complete this form along with our Stocks and Shares ISA Application Form.

If you're transferring savings from more than one ISA you should complete a separate Stocks and Shares ISA Transfer Authority Form for each transfer request.

Don't forget if you've already got a Stocks and Shares ISA, you can also complete your transfer request using our online service.

For more information on transfers including what we accept and what we're unable to accept, please see our transfers page – royallondon.com/isa-transfers.

If you need any further help completing this form you can call us on 0345 60 50 050 8am-6pm, Monday to Friday (excluding bank holidays).

1 Your details

This section should be completed by all applicants.

Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Mx <input type="checkbox"/> Other (please specify) <input type="text"/>
First name(s)	<input type="text"/>
Surname	<input type="text"/>
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
National Insurance number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Permanent residential address	<input type="text"/> <input type="text"/> <input type="text"/> Postcode <input type="text"/>
Contact telephone number	<input type="text"/>
Mobile number	<input type="text"/>

If you'd like your transfer payment to be paid into an existing Royal London Stocks and Shares ISA, please confirm your Stocks and Shares ISA plan number:

2 ISA transfer instruction

Please complete the relevant parts of this section.

What type of ISA are you transferring from? Cash ☐ Stocks and Shares ☐ Other (please specify)

Have you contributed to this ISA in the current tax year? Yes ☐ No ☐

If yes, please tell us how much you've contributed

Is this a full or partial transfer? Full ☐ Partial ☐

If a partial transfer, do you want to transfer (choose one only)

All current tax year contributions
(current tax year contributions can only be transferred in full). ☐

All previous tax years' contributions ☐

Some of your previous tax years' contributions ☐ Amount

Current ISA provider

Current ISA account number or reference

3 Additional instructions

Please use the box below to provide any additional instructions about the transfer to your current ISA provider.

For example, "please proceed immediately with the transfer" or "only proceed with the transfer once my cash ISA has matured".

4 How we'll use your personal information

We'll use the information you've provided to complete your transfer request as soon as possible, so we'll share a copy of this form with your current ISA provider.

We'll also share your information with selected third party companies such as service providers, regulators and research companies. Your information may be used to allow us to complete regulatory checks and to help us provide a better service for our customers.

If you'd like to know more about how we use your information, including your rights, our lawful basis, who we may share it with and how long we'll keep it for, please see our privacy notice at royallondon.com/privacynotice

If you'd rather receive this information in another format, for example a recorded version, please call us on **0800 0858 352**.

5 Declaration

Please carefully read and sign this section. If there's anything you don't fully understand please speak to your financial adviser or Royal London.

I authorise my current ISA provider to:

- transfer my ISA to Royal London either in full or in part;
- disclose information about my ISA to Royal London, and accept any instruction from them relating to the ISA being transferred; and
- provide any required instruction to and/or any discharge required by any relevant third parties in order to complete the transfer.

I authorise my current ISA provider and Royal London to obtain any required information from, and provide information to each other, for the purpose of completing the transfer.

I accept that:

- if I'm transferring a Stocks and Shares ISA, Royal London does not accept in-specie transfers and will only accept a cash transfer payment;
- if I'm transferring any current year subscriptions, they can't include any share scheme transfers or partial transfers;
- my current ISA provider will no longer be responsible for any funds being transferred, from the date the transfer is complete;
- Royal London will be responsible for managing the value of the ISA being transferred from the date they complete the transfer;
- the value of the transfer payment will be invested in a separate ISA policy within my Stocks and Shares ISA;
- if, on receipt of my transfer, Royal London finds that I've gone over the ISA subscription limit for the current tax year, they'll get in touch with me to discuss the necessary steps, which might mean I'll be liable to a tax charge;
- any previous tax year contributions will not count towards the ISA subscription limit for the current tax year;
- if I incur any charges or fees from my current ISA provider when transferring my ISA, I'll need to settle these direct with the other ISA provider as Royal London won't pay any fees connected to my transfer or deduct fees from my investments;
- any ongoing adviser charge, if applicable, that is a percentage of my total plan value will apply to this transfer too; and
- my transfer payment will be invested in the same investments as any other transfer payments or contributions paid into my plan.

I confirm that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or older;
- I am resident in the United Kingdom (UK) for tax purposes:
 - or if not resident in the United Kingdom for tax purposes, either perform duties which, by virtue of section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK;
 - or I am married to, or in a civil partnership with, a person who performs such duties;
- I will inform Royal London if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- I am not a US resident or US citizen;
- I agree to the ISA terms and conditions; and
- I have not made subscriptions, and will not make subscriptions, more than the overall ISA subscription limit in total to any combination of permitted ISA types in the same tax year.

I agree:

- to provide all information requested by my current ISA provider and Royal London;
- that I'm responsible for any reasonable losses that results from any incorrect or incomplete information I've provided, or fail to provide; and
- to inform Royal London without delay of any changes in my circumstances that may change the information given in this application.

Your signature

Please read and sign this section.

I confirm that the information and every answer I've provided in this form is correct and complete to the best of my knowledge and belief, including any answers not filled in by me.

I also confirm that I've carefully read this declaration above and wish to apply for a Stocks and Shares ISA.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Returning this form

New Business team
Royal London House
Alderley Park
Congleton Road
Nether Alderley
Macclesfield
SK10 4EL

Returning this form: If you're using an A4 window envelope to return your completed application form then insert the completed form into the envelope, ensuring the address to the left is clearly visible in the envelope window.

Checklist

Please tick to confirm what additional documents you're enclosing with your application form.

Completed and signed Stocks and Shares ISA Application Form ☐

Please make sure you enclose all relevant information as it will help us process your application as quickly as possible.



Royal London
royallondon.com

**We're happy to provide your documents in a different format,
such as braille, large print or audio, just ask us when you get in touch.**

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